

Section 3.3: Further properties of graphs

We now understand pretty well how it is that the derivative of a function relates to the graph of the original function.

But you may recall that we *briefly* examined an extension of the ordinary derivative: the _____ *derivative* of the function $f(x)$, denoted $\frac{d^2f}{dx^2}(x)$ or _____, is defined to be the derivative of the _____ of f .

Note. We can often continue differentiating, defining the third derivative $f'''(x)$, the fourth derivative $f''''(x) = f^{(4)}(x)$, and so forth. These derivatives have subtle meanings you'll learn more about in Calc II.

Definition. The second derivative of the function f measures the function's *concavity*, or how much it's "bending," either up or down.

Example. Consider the function $f(x) = x^3$. Since $f'(x) = 3x^2$ is always at least 0, the function f is always increasing. However, something interesting *does* happen at the critical point $x = 0$, as you can see in the graph of $f(x)$ given below:

How does the graph change *qualitatively* as we pass the point $x = 0$?

Now go back to your graph above and draw a few tangent lines to the graph, making sure to include at least one on either side of the point $x = 0$.

Regarding these tangent lines:

- (1) If $a < 0$, where does the tangent line at $x = a$ lie, relative to the function's graph?

- (2) If $a > 0$, where does the tangent line at $x = a$ lie?

It turns out that the second derivative is *exactly* what we need to keep track both of the “bendiness” of the graph, and of the location of those tangent lines:

Definition/Proposition. Let f be a given function.

- (1) If $f''(x) > 0$ for all x on an interval (a, b) , then f is *concave* _____ on that interval: it “bends upward” and its tangent lines lie _____ the graph of f .
- (2) If $f''(x) < 0$ for all x on an interval (a, b) , then f is *concave* _____ on that interval: it “bends _____” and its tangent lines lie _____ the graph of f .

By the way, if f'' changes sign (from negative to positive or *vice versa*) at the point $x = a$, we call that point an _____ *point* of f .

Looking back at the graph of $f(x) = x^3$, what happens to tangent lines at an inflection point?

Example. Consider $f(x) = x^4 + \frac{4}{3}x^3 - 12x^2$.

- (1) Find the intervals on which f is increasing and decreasing, as well as all critical points, maxima, and minima of the function.

- (2) Find the intervals on which the function f is concave up, and those on which it is concave down. Are there any inflection points?

Hey, check out the extrema in this example: do you notice any relationship between the location of the extrema and the concavity of the function at those points?

This last observation is no coincidence:

Proposition. Let $f'(a) = 0$. If $f''(a) > 0$, the point $x = a$ is a local _____ of the function f . If $f''(a) < 0$, the point $x = a$ is a local _____ of the function f .

Example. Consider the function $f(x) = x^4 - 2x^2$. In the space below, analyze the graph of this function as fully as you can using $f'(x)$ and $f''(x)$.

The second derivative of a function can do a little more for us than tell us about concavity. Remember linear approximations? The tangent line to the graph of f at the point $x = a$ gives an easy (but not always accurate) estimate for $f(x)$ near $x = a$:

$$f(x) \approx f'(a)(x - a) + f(a)$$

when x is near a .

Second derivatives allow us to give a slightly *better* estimate using _____ instead of lines:

Definition/Proposition. Let f be a given function. Then the _____ *approximation* to f at the point $x = a$ is given by

$$\frac{1}{2}f''(a)(x - a)^2 + f'(a)(x - a) + f(a).$$

This function has the same value, first derivative, and second derivative as f at the point $x = a$.

Notice that this approximation matches the original function's position, increasing/decreasing behavior, *and* concavity: it's no wonder they're so close.

Just for fun, how do you think you would define the *cubic approximation* to f at $x = a$?

Example. Find both the linear and the quadratic approximations to each of the following functions, at the given point.

(1) $f(x) = e^x$ at the point $x = 0$

(2) $g(x) = \sin(x)$ at the point $x = \frac{\pi}{4}$

Homework. The following problems are due on *Friday, March 27th*.

- (1) For each of the following functions, find all
 - (i) critical points,
 - (ii) extrema of any kind,
 - (iii) intervals of increase and decrease,
 - (iv) intervals of concavity, and
 - (v) inflection points.

Please show all of your work, and note that a graph alone is not sufficient.

- (a) $f(x) = x^5 - 5x^4$
 - (b) $g(x) = x^3 e^x$
 - (c) $h(x) = \frac{e^x}{x^2}$
- (2) Draw the graph of a *single* function f having the following properties (you do *not* have to give a formula for the function):
 - (a) a horizontal asymptote at $y = 0$,
 - (b) a vertical asymptote at $x = -2$,
 - (c) a global minimum at $x = 0$,
 - (d) no global maximum,
 - (e) its only x -intercept (that is, a root) at $x = 1$, which is also an inflection point of f , and
 - (f) a critical point at $x = 2$ that is not an extremum but *is* an inflection point.
 - (3) Find both the linear approximation and the quadratic approximation to the function $f(x) = \cos(x)$ at $a = 0$.
 - (4) Find both the linear approximation and the quadratic approximation to the function $f(x) = \sqrt{x}$ at $a = 100$. Having found these approximations, use both of them to estimate $f(99) = \sqrt{99}$. How far off are your answers from the real value, which is roughly 9.949874371?
 - (5) The *quartic approximation* to the function f at the point $x = a$ is given by

$$\frac{1}{24}f^{(4)}(a)(x-a)^4 + \frac{1}{6}f'''(a)(x-a)^3 + \frac{1}{2}f''(a)(x-a) + f'(a)(x-a) + f(a).$$

Prove that at $x = a$ this function has the same value and first, second, third, and fourth derivatives as the original function f .